Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

EFS ID:

14903

Application ID:

10063527

Title of Invention:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC

ACIDS ENCODING THE SAME

First Named Inventor:

Dan Eaton

Domestic/Foreign Application:

Domestic Application

Filing Date:

null

Effective Receipt Date:

2002-05-02

Submission Type:

Utility Patent Filing

Filing Type:

new-utility

Confirmation Number:

0

Attorney Docket Number:

10466/311

,,

cn=Paul Rauch, ou=Registered Attorneys, ou=Patent and

Digital Certificate Holder:

Trademark Office, ou=Department of Commerce, o=U.S.

Government, c=US

Certificate Message Digest:

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Total Fees Authorized:

\$740.0

Payment Category:

CC - Credit Card

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04302004

Card Holder Name:

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RAM User ID:

EFSPROD

RAM Accounting Date:

2002-05-02

RAM Sequence Number:

445580

RAM Payment Status:

RAM success

Postal Code:

60611

TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Number:

Submission Type: Utility Patent

Filing

Attorney Docket

10466/311

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS **ENCODING THE SAME**

First Named Inventor: Dan L. Eaton

SUBMITTED BY

Name:

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Dr. Paul E. Rauch

Registration Number:

38591

Electronic Signature Mark: Paul E.

Rauch

Date Signed: 20020502

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

sequence-listing

Sequence_Listing_as_filed.txt

declaration

Declaration01.tif

	declaration	Declaration02.tif
	declaration	Declaration03.tif
	declaration	Declaration04.tif
	declaration	Declaration05.tif
	declaration	Declaration06.tif
	declaration	Declaration07.tif
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Comments:

I hereby state that the Sequence Listing contained in the specification and drawings submitted herewith and the Sequence Listing attached hereto in .txt format is identical. I further state that this submission includes no new matter. The prior application is assigned of record to Genentech, Inc. REEL/FRAME 012377/0266; Recorded 12/06/01

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inv names are listed below) of the subject matter which is claimed and for which a patent is sought on the inventic

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE S

the specification of which (check one) X is attached hereto or was filed on as Application Serial No. and was ar applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance Code of Federal Regulations, Section 1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application (s inventor's certificate listed below and have also identified below any foreign application for patent or inventor's cer filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Prior Yes

Number

Country

Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications (s

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.

Filing Date

Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below as the subject matter of each of the claims of this application is not disclosed in the prior United States application provided by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material i defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applic national or PCT international filing date of this application:

Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications Status: Patented, Pending, Abandon Filing Date

Application Ser. No.

Application Ser. No.

Filing Date

Status: Patented, Pending, Abandon

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Traconnected therewith.

09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

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Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements we knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the U and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent at to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. a or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent herein will be so notified by the undersigned.

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Citizenship CA	
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Inventors signature Residence	10/1/0)
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Citizenship US	
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Citizenship US	
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Austin L. Gurney	
Inventor's signature	Date ////
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Citizenship US	
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Inventor's signature	Date
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Citizenship	
US	•
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Full name of nineth joint inventor, if any	
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Inventor's signature	Date 18 /3 / 1
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Citizenship US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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Prior Foreign Application(s):

<u>Priori</u> Yes

Number

Country

Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.

Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below as the subject matter of each of the claims of this application is not disclosed in the prior United States application i provided by the first paragraph of Title 35, United States Code, §112, Lacknowledge the duty to disclose material in defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applic national or PCT international filing date of this application:

Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications Status: Patented, Pending, Abandon

Application Ser. No.

Filing Date

Application Ser. No.

Filing Date

Status: Patented, Pending, Abandon

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trac connected therewith.

09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:

Attn: Paul E. Rauch

Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 N. Cityfront Plaza Drive Chicago, IL 60611-5599 Telephone: 312-321-4780 Facsimile: 312-321-4299

Email: prauch@brinkshofer.com

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The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent age to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attornage and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent no herein will be so notified by the undersigned.

Full name of sole or first inventor	
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nventor's signature	Date
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Full name of second joint inventor, if any	
Ellen Filvaroff	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date 00£ 15, 2007
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Citizenship	
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Paul J. Godowski	
Inventor's signature	Dale
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Full name of sixth joint inventor, if any	
J. Christopher Grimaldi	
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Citizenship US	
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Austin L. Gurney	
Inventor's signature	Date
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Citizenship US	
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Full name of eighth joint inventor, if any	
Colin K. Watanabe	
Inventor's signature	Date
Residence 128 Corliss Drive, Moraga, CA 94556	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications

١.	60/063435	Filed 10/29/97
2.	60/064215	Filed 10/29/97
3.	60/082797	Filed 4/22/98
4.	60/083495	Filed 4/29/98
5.	60/085579	Filed 5/15/98
6.	60/087759	Filed 6/2/98
7.	60/088021	Filed 6/4/98
8.	60/088029	Filed 6/4/98
9.	60/088030	Filed 6/4/98
10.	60/088734	Filed 6/10/98
11.	60/088740	Filed 6/10/98
12.	60/088811	Filed 6/10/98
13.	60/088824	Filed 6/10/98
14.	60/088825	Filed 6/10/98
15.	60/088863	Filed 6/11/98
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17.	60/089514	Filed 6/16/98
18.	60/089653	Filed 6/17/98
19.	60/089952	Filed 6/19/98
20.	60/090246	Filed 6/22/98
21.	60/090444	Filed 6/24/98
22.	60/090688	Filed 6/25/98
23.	60/090696	Filed 6/25/98
24.	60/090862	Filed 6/26/98
25.	60/091628	Filed 7/2/98
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27.	60/096757	Filed 8/17/98
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29.	60/096959	Filed 8/18/98
30.	60/097954	Filed 8/26/98
31.	60/097971	Filed 8/26/98
32.	60/097979	Filed 8/26/98
33.	60/098749	Filed 9/1/98
34.	60/099741	Filed 9/10/98
35.	60/099763	Filed 9/10/98
36.	60/099792	Filed 9/10/98
37.	60/099812	Filed 9/10/98
38.	60/099815	Filed 9/10/98
39.	60/100627	Filed 9/16/98
40.	60/100662	Filed 9/16/98
41.	60/100683	Filed 9/17/98
42.	60/100684	Filed 9/17/98
43.	60/100930	Filed 9/17/98
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1 6.	60/101738	Filed 9/24/98
47.	60/101743	Filed 9/24/98
48 .	60/101916	Filed 9/24/98
49.	60/102570	Filed 9/30/98
50.	60/103449	Filed 10/6/98
51.	60/103678	Filed 10/8/98
52.	60/103679	Filed 10/8/98
53.	60/103711	Filed 10/8/98
54.	60/105000	Filed 10/20/98
5 5.	60/105002	Filed 10/20/98
56.	60/105881	Filed 10/27/98
57.	60/106030	Filed 10/28/98
58.	60/106464	Filed 10/30/98
5 9.	60/106856	Filed 11/3/98
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69.	60/113621	Filed 12/23/98
70.	60/114223	Filed 12/30/98
71.	60/115614	Filed 1/12/99
72.	60/116527	Filed 1/20/99
73.	60/116843	Filed 1/22/99
74.	60/119285	Filed 2/9/99
75.	60/119287	Filed 2/9/99 Filed 2/10/99
76.	60/119525	Filed 2/10/99
77.	60/119549	Filed 2/11/99
78.	60/120014	Filed 4/13/99
79.	60/129122	Filed 4/16/99
80.	60/129674	Filed 4/27/99
81.	60/131291	Filed 6/9/99
82.	60/138387 60/144791	Filed 0/3/77 Filed 7/20/99
83.	60/144/91	Filed 12/7/99
84 <i>.</i> 85.	60/175481	Filed 1/11/00
	60/173481	Filed 3/21/00
86. 87.	60/191007	Filed 4/25/00
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APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed be

US APPLICATIONS

1.	09/380139	Filed 8/25/98	
2.	09/311832	Filed 5/14/99	
3.	09/380137	Filed 8/25/99 ABD	
4.	09/380138	Filed 8/25/99 ABD	
5.	09/380142	Filed 8/25/99 ABD	
6.	09/397342	Filed 9/15/99	
7.	09/403297	Filed 10/18/99	ABD
8.	09/423844	Filed 11/12/99	ABD
9.	09/644848	Filed 8/22/00	
10.	09/665350	Filed 9/18/00	
11.	09/664610	Filed 9/18/00 ABD	
12.	09/709238	Filed 11/8/00	
13.	09/747259	Filed 12/20/00	
14.	09/816744	Filed 3/22/01	
15.	09/854208	Filed 5/10/01	
16.	09/854280	Filed 5/10/01	
17.	09/870574	Filed 5/30/01	
18.	09/874503	Filed 6/5/01	
19.	09/869599	Filed 6/29/01	
20.	09/908,827	Filed 7/18/01	

PCT APPLICATIONS

1.	PCT/US98/19330	Filed 9/16/98
2.	PCT/US99/05028	Filed 3/8/99
3.	PCT/US99/10733	Filed 5/14/99
4.	PCT/US99/12252	Filed 6/2/99
5.	PCT/US99/20111	Filed 9/1/99
6.	PCT/US99/21090	Filed 9/15/99
7.	PCT/US99/21194	Filed 9/15/99
8.	PCT/US99/30720	Filed 12/22/99
9.	PCT/US00/04341	Filed 2/18/00
10.	PCT/US00/04342	Filed 2/18/00
11.	PCT/US00/04414	Filed 2/22/00
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PCT/US01/17800	Filed 6/1/01
PCT/US01/19692	Filed 6/20/01
PCT/US01/21066	Filed 6/29/01
PCT/US01/21735	Filed 7/9/01
	PCT/US01/17443 PCT/US01/17800 PCT/US01/19692 PCT/US01/21066

FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 740

BANK (CREDIT) CARD INFORMATION:

Credit Card Number:

1006

Expiration Date:

20040430

Authorized Name:

M HOFFMAN 10466/311

Billing Address:

60611

BASIC FILING FEE

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Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 13	103	\$ 18	0	\$ 0
Independent Claims: 2	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0